CBT Treatment for
Youth with ASD and Anxiety

Jeffrey J. Wood, Ph. D.
University of California, Los Angeles
# Thanks to...

<table>
<thead>
<tr>
<th>Colleagues</th>
<th>Current &amp; Former Students</th>
<th>Families and Funding Agencies</th>
</tr>
</thead>
<tbody>
<tr>
<td>Karen Sze Wood</td>
<td>Amy Drahota</td>
<td>Children and parents: Thank you!</td>
</tr>
<tr>
<td>Cori Fujii</td>
<td>Marilyn Van Dyke</td>
<td></td>
</tr>
<tr>
<td>Patty Renno</td>
<td>Kaycie Zielinski</td>
<td></td>
</tr>
<tr>
<td>Eric Storch</td>
<td>John Danial</td>
<td></td>
</tr>
<tr>
<td>Phil Kendall</td>
<td>Sami Klebanoff</td>
<td>Thanks also to:</td>
</tr>
<tr>
<td>Jill Ehrenreich</td>
<td>Ben Schwartzman</td>
<td>NIMH</td>
</tr>
<tr>
<td>Lindsey Sterling</td>
<td>Maria Cornejo</td>
<td>NICHD</td>
</tr>
<tr>
<td>Enjey Lin</td>
<td>Rebecca Dehnel</td>
<td>Autism Speaks</td>
</tr>
<tr>
<td>Kelly Decker</td>
<td>Lindsay Hauptman</td>
<td>Organization for</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Autism Research</td>
</tr>
<tr>
<td></td>
<td></td>
<td>UCLA–CART</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The Help Group</td>
</tr>
</tbody>
</table>

Children and parents: Thank you!

Thanks also to:
- NIMH
- NICHD
- Autism Speaks
- Organization for Autism Research
- UCLA–CART
- The Help Group
Psychiatric Comorbidity in ASD

Fig. 1 Frequency of the number of comorbid lifetime psychiatric diagnoses per child with autism. Only DSM-IV diagnoses are included (Leyfer et al. 2006)
Anxiety is Common in Autism Spectrum Disorders (ASD)

- Neurotypicals: 10%
- Youth w/ ASD: 70%

% Children
Studies of youth with ASD have consistently found heightened rates of:

- Separation anxiety
- Social anxiety
- Generalized anxiety
- Phobias
- Trait anxiety
- OCD symptoms

Emerging evidence of construct validity of anxiety in ASD in our research:

- Convergent/discriminant validity (Renno & Wood, 2014)
- Factorial equivalence (White... & Wood, 2015)
- Elevated baseline skin conductance (Sterling et al. 2015)
- Elevated diurnal cortisol levels (Renno et al., 2015)
- Linkage with ASD–related stressors (Renno, 2014)
- Expected treatment response (Wood et al., 2015)
Hypothetical Model

ASD-Related Stressors

- Social confusion; unpredictability of social encounters
- Peer rejection & victimization related to autism symptoms
- Prevention or punishment of preferred repetitive behaviors (e.g., during school)
- Frequent aversive sensory experiences in daily life

Mood Dysregulation and Anxiety

- Social anxiety
- Negative affectivity, anxiety/OCD, anger, and/or depression

Effects

- Increased social avoidance
- Increased autism symptom severity (e.g., repetitive behaviors)
- Behavioral problems (tantrums, noncompliance)
- Personal distress, reduced quality of life

(Wood & Gadow, 2010)
Understanding the Linkage

- Common neurocognitive mechanisms.
  - Executive functioning deficits are characteristics of autism and a number of psychiatric disorders (anxiety, ADHD, etc.) (Geurts et al., 2004)
  - Poor attention shifting and executive dysfunction underlies both prolonged negative emotion (anxiety).

- Other traits and their biological substrates that serve as vulnerabilities for psychiatric disorder may be more common in ASD, too.
  - For example, genetic factors that are markers of negative affectivity/anxiety in typical youth are also present in children with ASD and anxiety; e.g. dopaminergic gene polymorphisms such as DAT1 intron8; serotonin transporter 5-HTTLPR. (Cohen et al., 2003; Gadow et al., 2014, 2008, 2009, 2010; Roohi et al., 2009)
At Least 2 Roads to Anxiety

1. A child with ASD who is primarily dysregulated in general (e.g., broad executive function impairments) producing emotional dysregulation across the spectrum including fear, anger, frustration, joy, etc.

2. A child with ASD and more focal anxiety (e.g., secondary to high amygdala output and/or specific learning experiences and/or stressors that selectively increase anxiety but not necessarily other emotional reactions)
Adolescence, Anxiety, and ASD

- Social anxiety and behavioral avoidance are more pronounced among early adolescents with high-functioning ASD (Kuusikko et al. 2008).
- Kuusikko et al. speculated that youth with high-functioning ASD may begin to observe and comprehend their own impaired social skills in early adolescence, thereby increasing the likelihood of self-consciousness.
Neurotypical Findings on Social Anxiety ➔ Social Functioning

- Social anxiety linked with:
  - Reduced social networks and poorer self-esteem (e.g., Neal & Edelmann, 2003).
  - Poor social skills: parent-reported low assertion and responsibility; observed infrequent initiations and social interactions (Spence, Donovan, and Brechman-Toussaint, 1999)
  - Social withdrawal reported by teachers (Erath, Flanagan, & Bierman, 2007)
  - Difficulty in generating conversation topics during role plays (Alfano, Beidel, & Turner, 2006).
Other Adolescent Issues

- Increased stress from school workloads and social complexity
- Puberty-related heightened emotionality
- Emerging sexuality
- These and other factors may impinge upon the typical treatment process that appears to be efficacious for preteens with ASD?
- Therefore, Behavioral Interventions for Anxiety in Children with Autism was adjusted to incorporate teen-friendly language and handouts, and otherwise ensure developmental appropriateness.
- Hypothesis: CBT would outperform a waitlist condition on independent evaluators’ ratings of treatment response and symptom severity.
BIACA Intervention (Behavioral Interventions for Anxiety in Children with Autism)

- 16 weekly outpatient meetings, 90 minutes each
  - 45 minutes with the youth
  - 45 minutes with the parents and/or family
  - Core focus: coping with anxiety and facing fears
- Optional school visits & consultations
First Study (N = 40, 7–11 year olds)
Diagnostic Remission (Wood et al., 2009)

χ² [1] = 12.28, p < .0001
First Study, Social Responsiveness Scale

N = 19

$F(1,16) = 5.39, p < .05; \text{ES} = .76$
Second Study Design (Fujii et al., 2014; Wood et al., 2014)

- 13 Children 7–11 years old with confirmed diagnosis of autism, Aspergers, or PDD
- ADIS–C/P comorbid diagnosis of Separation Anxiety, Social Phobia, or OCD
- Children randomly assigned to 32 weeks of immediate treatment or 3–month waitlist
- Independent evaluators blind to treatment condition observe social behaviors at pre- and post-treatment
Observation Measure

- Bauminger’s school social observation measure (e.g., 2002), focusing on initiations, responses, and the quality (positive, negative, and neutral) of each.
- Time sampling—40 sec. observation intervals.
- Proportion scores per child of each behavior are generated at pre and post.
- 2 recess periods per assessment (pre–, post–) observed.
- Interrater reliability ICC > .7
% Diagnostic Remission @ Post

% Anxiety Disorder Remission — ADIS
Observed Positive Peer Response to Positive Social Overtures

TIME OF ASSESSMENT

Score

0 10 20 30 40 50 60 70 80 90 100

Pre Post

Immediate Treatment Waitlist
Early Adolescent Study Design

- 33 youth and their parents
- Ages range from 11 to 15 years
- 17 youth at the University of Southern Florida (USF) (11 males, 6 females) and 16 youth at the University of California – Los Angeles (UCLA) (12 males, 4 females)
- All youth had estimated or WISC full scale scores ≥ 70.
- Met criteria for at least PDD on ADI–R
- Youth randomly assigned to immediate treatment or 3-month waitlist
- Independent evaluators blind to treatment condition conduct diagnostic interviews at pre- and post-treatment and make CGI ratings of treatment response at post
## Sample Characteristics

<table>
<thead>
<tr>
<th></th>
<th>IT No. (%)</th>
<th>WL No. (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>n = 19</td>
<td>n = 14</td>
</tr>
<tr>
<td>Youth sex (male)</td>
<td>13 (68)</td>
<td>10 (71)</td>
</tr>
<tr>
<td>Youth age</td>
<td>12.4 (SD = 1.3)</td>
<td>12.2 (SD = 0.98)</td>
</tr>
<tr>
<td>Autism spectrum disorders</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Autistic disorder</td>
<td>12 (63)</td>
<td>10 (72)</td>
</tr>
<tr>
<td>PDD-NOS</td>
<td>1 (5)</td>
<td>2 (14)</td>
</tr>
<tr>
<td>Asperger syndrome</td>
<td>6 (32)</td>
<td>2 (14)</td>
</tr>
<tr>
<td>Baseline anxiety disorders</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SoP</td>
<td>8 (41)</td>
<td>5 (36)</td>
</tr>
<tr>
<td>SAD</td>
<td>2 (11)</td>
<td>4 (29)</td>
</tr>
<tr>
<td>OCD</td>
<td>2 (11)</td>
<td>1 (7)</td>
</tr>
<tr>
<td>GAD</td>
<td>4 (21)</td>
<td>3 (21)</td>
</tr>
<tr>
<td>Other comorbid diagnoses</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ADHD</td>
<td>14 (74)</td>
<td>9 (64)</td>
</tr>
<tr>
<td>Dysthymia / MDD</td>
<td>5 (26)</td>
<td>0</td>
</tr>
<tr>
<td>ODD / CD</td>
<td>4 (21)</td>
<td>1 (7)</td>
</tr>
<tr>
<td>PTSD</td>
<td>1 (5)</td>
<td>0</td>
</tr>
<tr>
<td>Psychiatric medication use</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SSRI</td>
<td>9 (47)</td>
<td>5 (36)</td>
</tr>
<tr>
<td>Atypical antipsychotic</td>
<td>6 (32)</td>
<td>2 (14)</td>
</tr>
<tr>
<td>Stimulant or atomoxetine</td>
<td>10 (53)</td>
<td>4 (29)</td>
</tr>
</tbody>
</table>
Adaptations to a CBT program (Wood & McLeod, 2008) were based on research & clinical experience in ASD.

- Broaden hierarchy to include social communication, repetitive behaviors, and undercontrolled behaviors
- Partially reverse cognitive and behavioral elements
- Playdates, peer “buddy” programs at school
- “Social coaching” at home and school
- Large scale rewards system; home-school note
- Using visual stimuli and special interests
Administer reward system consistently
Encourage / remind about daily tasks (exposures and social practicing)
Overseeing playdates, promoting good hosting
Social coaching as philosophy all day long
Modeling adaptive thoughts and social behavior
Interfacing with school on home–school note
Promoting independence in daily self-help skills and providing related positive feedback
Pediatric Anxiety Scale (PARS) at Post–Treatment

PARS scores at post

$p = .044$, Cohen’s $d$ ES = .74
Social Responsiveness Scale (SRS) at Post-Treatment

SRS scores at post

\[ p < .01, \text{ Cohen's d ES} = 1.17 \text{ (large)} \]
Adaptations to our original CBT program (Wood & McLeod, 2008) were based on research & clinical experience in ASD.

- Broaden hierarchy to include social communication, repetitive behaviors, and undercontrolled behaviors
- Partially reverse cognitive and behavioral elements
- Playdates, peer “buddy” programs at school
- “Social coaching” at home and school
- Large scale rewards system; home-school note
- Using visual stimuli and special interests
Parent’s Role

- Administer reward system consistently
- Encourage / remind about daily tasks (exposures and social practicing)
- Overseeing playdates, promoting good hosting
- Social coaching as philosophy all day long
- Modeling adaptive thoughts and social behavior
- Interfacing with school on home–school note
- Promoting independence in daily self–help skills and providing related positive feedback
<table>
<thead>
<tr>
<th>Task</th>
<th>MON</th>
<th>TUES</th>
<th>WED</th>
<th>THURS</th>
<th>FRI</th>
<th>SAT</th>
<th>SUN</th>
</tr>
</thead>
<tbody>
<tr>
<td>Speaking respectfully to others. This means no insults. I can make 3 mistakes per day and still earn this point—I will be told each time and have to correct it.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Following directions by the second time I’m asked all day long (1 request + 1 reminder). This includes starting homework on time; taking a bath; and other things mom/dad might ask me to do, with a good attitude.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Keeping in my own bed.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Going on elevators when mom/dad say it is required. I will go by the count of 5, after being given a moment to calm down and get mentally prepared (thinking calm thoughts!).</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- Each task = 1 point
- If I earn my 4 home points for the day, I will have my daily TV / electronics, and sweets, privileges for the day.
<table>
<thead>
<tr>
<th>Task</th>
<th>MON</th>
<th>TUES</th>
<th>WED</th>
<th>THURS</th>
<th>FRI</th>
<th>SAT</th>
<th>SUN</th>
</tr>
</thead>
<tbody>
<tr>
<td>Practice having 1 conversation about a topic mom/dad bring up. They will tell me when we’re going to practice this. I will ask at least two questions in a row about the topic to show I am interested. It is ok if mom/dad points out when I could ask the second question.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Playing a game with a SMALL rule change while keeping my cool and going with the flow (e.g., can’t start in the middle spot in tic-tac-toe or Connect 4; the oldest person gets to go first; etc.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Practice loaning and borrowing! I will let my sister use one of my toys for a minute or two while I stay in the room and make sure she keeps it safe. She will let me use one of hers at the same time.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### GOAL 1: Trying hard when writing!

(Either hand-written, or typed). My teachers will give me a specific goal for each assignment for how much I should write, and I will do so without complaint.

<table>
<thead>
<tr>
<th></th>
<th>Mon</th>
<th>Tue</th>
<th>Wed</th>
<th>Thurs</th>
<th>Fri</th>
</tr>
</thead>
<tbody>
<tr>
<td>GOAL 1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### GOAL 2: Participating in large and small groups.

I will make at least 2 comments or ask at least 2 questions per group. (I can wear 2 colorful bracelets on my left wrist that I’ll move to my right wrist after each comment/question to help me remember to do this.)

<table>
<thead>
<tr>
<th></th>
<th>Mon</th>
<th>Tue</th>
<th>Wed</th>
<th>Thurs</th>
<th>Fri</th>
</tr>
</thead>
<tbody>
<tr>
<td>GOAL 2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Task</td>
<td>MON</td>
<td>TUES</td>
<td>WED</td>
<td>THURS</td>
<td>FRI</td>
</tr>
<tr>
<td>----------------------------------------------------------------------</td>
<td>-----</td>
<td>------</td>
<td>-----</td>
<td>-------</td>
<td>-----</td>
</tr>
<tr>
<td>Being a <strong>good friend</strong>—I can get 1 reminder per day (being a good sport and NOT <strong>telling</strong> on other kids or <strong>spying</strong> on them)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Playing with friends. I will ask my friends at least 2 questions about a topic they bring up during snack and during lunch</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Sample Case Profile

- 7 year-old boy, “Sammy”
- High-functioning autism (verbose), OCD, generalized anxiety disorder
- Anxiety-related symptoms
  - Extreme reactions to academic “pressure” (frequent crying and refusal during testing and homework—due to perfectionism)
  - “Sammy’s list of things to do”—3–4 h. / day
  - Separation anxiety—unable to be alone
Profile Continued

- Social functioning
  - Likeable, but no reciprocal friends
  - Previously “abandoned” peers, set the play agenda, and did not share toys during attempted playdates
  - Walking around aimlessly during recess

- Self-help skills
  - Relied on mother to perform all activities related to bathing and dressing
Interventions for anxiety symptoms

- Paradoxical intervention with perfectionism: intentionally make mistakes in “pretend” assignments; later, in homework; later, on tests. Learn positive self-talk related to abilities & relative unimportance of “perfection.”
- To-do list compulsions: Restricted length of time to be devoted to to-do list per day. Later “challenged” Sammy to try days, then weeks, without any list whatsoever. Rewarded Sammy for engaging in non-list activities after school.
- Separation anxiety: developed realistic thoughts about safety; increasing time in rooms alone; sleeping without a night light / door closed.
Friendship skills: Capitalized on Sammy’s rule-governed personality to help him master and implement “rules of a good host.”

3–4 short playdates per week, hosted by Sammy, in which he practiced these skills (and was rewarded for effort)

“Lunch buddies”—peer intervention with classmates; they invited him to lunch. Sammy and mother problem-solved in advance on conversation topics, and he was instructed to finish lunch in time to walk to recess with peers and play with them for 5–20 min.
Self–Help Skills

- Sammy had previously demonstrated some ability to engage in most aspects of dressing and bathing—”low hanging fruit.”
- Had early success in mastering all aspects of each, except for setting water temp. in bath.
- Capitalized on his desire to be a grown–up (and “have his own business”) by pointing out how mature he would be if he did these activities himself. He adopted these terms and rapidly experienced increased self–esteem after mastering the self–help skills.
Sammy’s Outcomes

- Sammy did not meet criteria for any anxiety disorder (OCD, GAD) at post-treatment, per the independent evaluator’s diagnosis.
- Had identified 2 peers with whom he enjoyed playing after school.
- No more break-downs or refusal at school or during homework; flexible
- Increased pride and self-esteem
Key sessions to review

- EXP and REW
  - Go BIG: target behavioral problems EARLY; multiple concurrent homework exposures; believe in viability of achieving social goals—social modules are not just a nicety
  - Incorporation of all relevant goals into hierarchy/reward system
  - Parent communication—eg use of extinction

- SCHOOL
- FRND, PLAY, SOC–C (including park variant)
- Child 1–4 + KICK / IV
Combining evidence based practices such as CBT with effective social skills training models may be the most sensible approach for capitalizing on the increased emotional and behavioral regulation achieved in the treatment of comorbid conditions.

Teens may need additional adolescent-focused treatment components.

Treatment may need to be longer than 16 sessions.