

SLEEP IN THE PLATYPUS

J.M. Siegel, P.R. Manger+, R. Nienhuis, H.M. Fahringer

T. Shalita and J.D. Pettigrew+

UCLA School of Medicine and VAMC Sepulveda

Neurobiology Research 151A3, Sepulveda, CA 91343 and

Vision, Touch and Hearing Research Centre

University of Queensland, Brisbane, Australia+

Abbreviations:

EEG, electroencephalogram

EMG, electromyogram

EOG, electrooculogram

QS-H, Quiet Sleep with High voltage EEG

QS-M, Quiet Sleep with Moderate voltage EEG

REM-H, REM sleep with High voltage EEG

REM-M, REM sleep with Moderate voltage EEG

ABSTRACT

We have conducted the first study of sleep in the platypus *Ornithorhynchus anatinus*. Periods of quiet sleep, characterized by raised arousal thresholds, elevated EEG amplitude and motor and autonomic quiescence, occupied 6-8 hours/day. The platypus also had REM sleep as defined by atonia with rapid eye movements, twitching and the ECG pattern of REM sleep. However, this state occurred while the EEG was moderate or high in voltage, as in nonREM sleep in adult placental and marsupial mammals. This suggests that low voltage EEG is a more recently evolved feature of mammalian REM sleep. REM sleep occupied 5.8-8 hours/day in the platypus, more than in any other animal. Our findings indicate that REM sleep may have been present in large amounts in the first mammals and suggest that it may have evolved in pre-mammalian reptiles.

Key words: phylogeny, monotreme, duck-billed, evolution, development

Sleep in mammals consists of two stages, REM and nonREM. REM sleep has been observed with behavioral or electrophysiological measures in virtually all placental and marsupial mammals studied (Zepelin, 1994). The monotremes comprise the third branch of the mammalian tree. There are just three extant monotreme species, the short beaked and long beaked echidna and the platypus. Fossil and genetic evidence indicates that the monotreme line diverged from the other mammalian lines about 150 million years ago and that both echidna species are derived from a platypus-like ancestor (Clemens, 1989; Flannery, 1989; Westerman and Edwards, 1992).

The monotremes have shown a remarkably conservative evolutionary course since their divergence from the rest of the mammalian line. For example, fossil teeth from *Steropodon galmani* dated at 110 million years ago show many similarities to the vestigial teeth of the current day platypus, *Ornithorhynchus anatinus* (Archer et al., 1985). Analyses of fossilized skull remains indicate remarkably little change in platypus morphology over at least 60 million years (Archer et al., 1992; Pascual et al., 1992).

The low level of speciation throughout the fossil record is another indicator of the uniquely conservative lineage of monotremes. Apart from the echidna line, the 150 million years of platypus evolution has produced no species radiation, although the fragmentary skull evidence available for the identification of *Monotrematum sudamericanum*, has led to its tentative classification as separate taxon (Pascual et al., 1992). The echidna line has a similar history. The classification of the giant echidna, *Zaglossus hacketti* is uncertain because of the lack of cranial material (Griffiths et al., 1978). However, apart from this specimen, there has only been the relatively recent divergence of the short and long beaked echidna over the echidna's 60 million year long evolutionary course (Griffiths et al., 1991). In contrast, more than 4,000 placental and marsupial species have evolved since the emergence of the monotremes. While monotremes are distinctly mammalian, they do display a number of reptilian features, making study of their physiology a unique opportunity to determine the commonalities and divergences in mammalian evolution (Griffiths, 1978; Kemp, 1982; Westerman and Edwards, 1992).

The first study of monotreme sleep was performed on the short beaked echidna (Allison et al., 1972) and reported the presence of nonREM sleep and the complete absence of REM sleep. A more recent study of unit activity in the echidna (Siegel et al., 1996) found that whereas there was no sleep state with rapid eye movements and twitching; during sleep with high voltage EEG brainstem reticular formation units fired in the irregular burst-pause pattern that characterizes REM sleep, not in the slow regular pattern of nonREM sleep (Siegel and Tomaszewski, 1983; Huttenlocher, 1961). This finding suggests that while the monotremes may not have the low voltage EEG of placental and marsupial REM sleep, they may have aspects of the brainstem activation that underlies its principal features. Examination of the platypus was undertaken to elucidate the nature of sleep in this most plesiomorphic mammal.

METHODS

We were allowed access to one adult female and three adult male platypus for the current study. They were captured in southeast Queensland, Australia and weighed between 0.9 and 1.5 Kg, with the smallest being a female. All studies were done at the University of Queensland in Brisbane. The research was carried out according to the Australian Code of Practice for the Care and Use of Animals for Scientific Purposes under Queensland National Parks and Wildlife permit T00803 and K01782.

Because the platypus is a semi-aquatic mammal and cannot be confined without severe stress, it has been difficult to maintain and study in captivity (Grant et al., 1977, Whittington, 1991). Eighty percent of platypus die during the first year of captivity in zoos within Australia, most within the first month (Whittington, 1991). One possible explanation for this high mortality rate is stress resulting from the electrical noise generated by the pumps and other electrical equipment used in conventional aquaria. Platypus are unique among mammals in possessing a highly developed electrosensory system (Manger and Pettigrew, 1995; Scheich et al., 1986). This system processes information derived from specialized electroreceptors arrayed over the bill (Manger and Pettigrew, 1996). We have dealt with this problem by constructing a Faraday cage around the 2.0 meter diameter, 1 meter high (water depth 40 cm) fiberglass tank where the platypus could swim and feed. This reduced the ambient electrical noise to 10-20m V/cm. The Faraday

cage also enclosed the 13 meter x 30 cm x 30 cm burrow system. Platypus typically slept in one of two nesting boxes (250 mm high x 300 mm wide x 400 mm long) within the burrow system. Hay and leaf litter was available in the tunnels and used by the platypus to customize their sleep areas (Manger and Pettigrew, 1995). Plexiglas ports placed over the nest areas allowed video monitoring and recording of sleep postures, twitching and eye movements. These ports were covered during polygraphic recording of normative sleep parameters.

Platypus were fed fresh water crustaceans and worms as previously described (Manger and Pettigrew, 1995). Platypus typically consumed 500 gms (up to half their body weight) each day. An active gravel and charcoal filtration system maintained water cleanliness. The pool and burrow system was in an unheated room open at the ceiling to allow natural cycles of temperature and light into the room. Of the 30 platypus maintained for 1-3 months (mean of 6 weeks) in this enclosure over a 6 year period for a variety of behavioral, anatomical and physiological studies, only one died prior to experimentation.

The present experiments were done in June and July (Winter). In Brisbane at this time of year, dawn is at 6 AM and sunset at 5:30 PM. Temperatures within the pool ranged from 20.5° to 21.5° C and in the burrow from 20° to 23° .

To maintain good health in captivity, platypus must be free to swim and explore their burrow system to approximate conditions in the wild. It is likely that any prolonged confinement or direct connection to a recording cable would cause severe stress, the major cause of death in captive platypus (Whittington, 1991). Therefore, we used an implanted telemetry device with one or three channel capability (DSI, St. Paul, MN, USA) and an array of six telemetry receivers, two placed underwater in the tank and four placed under the burrow system. This system continuously transmitted electroencephalogram (EEG), electrooculogram (EOG) and electromyogram (EMG) while the platypus was active and inactive, in the burrow and underwater. The frequency response of the system was 0.5-100 Hz (sampling rate of 500/Hz/channel). We recorded continuously with the three-channel system in one implanted animal (a 1.5 Kg male) for two weeks and derived the sleep duration and periodicity measurements from this recording. This animal was videotaped continuously for 48 hours prior to implantation and for 72 hours starting 11 days after implantation. We videotaped sleep behavior in a second animal (a male), then implanted it with EEG telemetry electrodes, but it died within 24 hours of implantation, so its physiological data were of limited use. We recorded ECG with a one channel telemetry system and videotaped behavior in a third animal, also for a two-week period. This animal was used for the Poincaré analysis. A fourth unimplanted animal was also visually observed and videotaped during sleep for 12 hours. Arousal threshold testing was done during the long duration EEG recording study and on the fourth unimplanted animal (a 0.9 Kg female).

For implantation, animals were anesthetized with 30 mg ketamine mixed with 1.3 mg xylazine/Kg. Screw electrodes were placed over the motor cortex (Krubitzer et al., 1995; Siegel et al., 1977) for EEG recording. EOG was recorded from a pair of electrodes inserted through the conjunctiva of the right eye. EMG was recorded from electrodes threaded through the dorsal neck muscles. ECG was recorded from thoracic electrodes. All electrodes were connected to a three-channel telemetry device inserted subcutaneously in the back region or, in the case where just ECG was recorded, attached externally to the fur on the back. Signals were recorded polygraphically and on a Racal tape recorder along with a digital time code. Power spectra and Poincaré plots were calculated with a CED digitizing and analysis system sampling at 100 Hz on all channels. (Cambridge, England). Poincaré plots give an indication of the amplitude of respiratory and other sources of beat-to-beat heart rate variability. The dispersion of points is maximal in nonREM sleep but is minimal in REM sleep due to a loss of the respiratory related heart rate rhythmicity (Raetz et al., 1991).

RESULTS

We identified five sleep-waking states on the basis of EEG, EOG and EMG recordings: waking (**Wake**), Quiet Sleep with Moderate voltage EEG (**QS-M**), Quiet Sleep with High voltage EEG (**QS-H**), REM sleep with Moderate voltage EEG (**REM-M**) and REM sleep with High voltage EEG (**REM-H**). Fig. 1 shows the polygraphic patterns of the sleep-waking states of the platypus. **Wake**: When the platypus was underwater and quiet, holding its breath and showing its typical diving response (Manger and Pettigrew, 1995; Evans et al., 1994), EEG voltage was at its lowest level. A similar low voltage EEG was also present when the animal was observed to be awake and immediately after movement periods in the burrow. No periods with comparable low voltage EEG occurred when the animal had been in a sleep posture for more than a few seconds. When the animal was quiescent, there was little or no tonic muscle tone, even if the animal's eyes were open and it was responsive to sensory stimuli, i.e. awake. Therefore tonic muscle activity was not a useful indicator of sleep state.

At sleep onset, EEG amplitude increased, particularly at frequencies below 4 Hz, producing **QS-M**. Eyes were closed throughout these periods. **QS-M** was scored if EEG voltages exceeded 40 mV for more than half of the 1 min epoch. The presence of phasic events observed on the EOG channel, beginning as soon as 30-90 sec after the start of the QS period, were used to score **REM** and to discriminate **REM** from **QS**.

We first identified periods of REM sleep by video recording and direct visual observation of posture and behavior through the Plexiglas windows placed over the nesting regions in the burrow. We saw REM sleep, as defined by twitching of the bill, head and eyes in large amounts in all four animals. The behavioral phenomena (eye, bill and head movements) did not differ in implanted vs. unimplanted animals. We found that all of the REM episodes occurred while the animal was immobile in a curled or prone sleep posture. Figure 2 presents an episode in which direct visual observation was used to operate event markers to record bill and neck movements on the polygraph record. We found that phasic potentials from the electrodes placed adjacent to the eyes were correlated with rapid movements of the eyes, neck and bill. These included mastication-like movements of the bill as well as side to side movements of the head that resembled, but were much smaller and less complete, than the movements seen during feeding and swimming in the water tank (Manger and Pettigrew, 1995). We saw sleep behavior of similar type in all of the three platypus that were visually observed in the burrow, prior to, as well as after, implantation. We never saw movements of the eyes, bill or head resembling those seen during sleep in the burrow, when the animals were in the water tank.

To determine a threshold for phasic event detection using the polygraphic recording of the EOG, we observed the sleeping platypus continuously for a period of 2 hours. Using the marker channel, we labeled phasic bill movements on the polygraph record (Fig 2). We then determined the median amplitude of the EOG signal accompanying each observed bill movement. We used this median amplitude as the criterion for phasic event scoring. We then examined each 1 minute epoch for the presence or absence of phasic events.

We found that the median amplitude of the deflection recorded on the EOG channel during visually observed head and bill movements was 40 mV. Therefore, we scored as REM sleep any 60 sec epoch with muscle atonia and one or more EOG potentials > 40 mV. This scoring criterion follows the conventions used in young animals, in which EEG is not a useful discriminator between REM and nonREM sleep (Jouvet-Mounier et al., 1970; Harper et al., 1981). We note that by our scoring rule, half of the visually observable visually phasic events (those below the median) would not be scored. In order to further assess the effect of scoring rules on sleep epoch duration we also re-scored the data using 3 phasic events/1 min epoch as a criterion for REM sleep. One minute sleep epochs with one or two phasic events were scored as **QS-M** or **QS-H** when using this scoring criterion.

REM sleep was always accompanied by an EEG that was of moderate (**REM-M**) or high amplitude (**REM-H**), with consistently more power in all of the frequency bands assessed than that during waking states. EEG amplitude in **REM-M** was always equal to or greater than that in **QS-M**. **REM** periods in the 48 h sample that we quantified had an average of 13 EOG events/minute exceeding 25 mV. **REM-M** occupied 50.6% of sleep time using the one phasic event criterion (Table 1) and 40.8% of sleep time using the three phasic events criterion and typically followed **QS-M** or **W**.

REM-H was scored if the criteria for **REM-M** were met and there were three or more EEG slow waves exceeding 80m V in each 1 min epoch. **REM-H** periods typically followed **REM-M** periods and occupied 5.7% of sleep time, using the one phasic event criterion and 4.0% of sleep time using the three phasic event criterion. Figure 3 shows the state transition probabilities in the 48 h recording block. The most common sleep-wake progression was **Wake**® **QS-M**® **REM-M**® **Wake**. However, in contrast to adult placental and marsupial mammals, REM sleep could begin from waking at sleep onset. Figure 4 is a hypnogram showing the sequence and duration of states over a continuous 24 hour period. In figure 5, the length of the REM sleep cycle is plotted. Periodicity was calculated by measuring the time from REM sleep onset to the onset of the subsequent REM sleep period excluding intervening waking periods (Zepelin, 1994). A new REM sleep period was scored if more than 3 minutes had elapsed since the prior REM sleep epoch.

QS-M occupied 33.8-47% of sleep time. Epochs with no phasic events and high voltage EEG, defined as three or more slow waves exceeding 80m V in each 1 min epoch, were designated **QS-H**. **QS-H** periods followed some periods of **QS-M**, and occupied 9.9-12.2% of sleep time (Table 1,2).

In placental mammals, REM sleep periods are characterized by a distinctive ECG pattern. During REM sleep, there is a decreased beat-to-beat interval dispersion due to decreased respiratory related ECG rhythmicity, relative to nonREM sleep. These patterns are best identified with Poincaré plots (Raetz et al., 1991). Fig. 6 presents Poincaré plots of **QS** and **REM** ECG. During **REM**, there is relatively little dispersion, reflecting the lack of ECG rhythmicity and elevated ECG rate. During **QS**, the dispersion around the mean interbeat interval is maximal, reflecting respiratory related cardiac rhythmicity. The ECG pattern of platypus **REM** as identified by Poincaré plots resembles that of REM sleep, whereas the **QS** pattern resembles that of nonREM sleep (Raetz et al., 1991). Thus from the standpoint of this fundamental indicator of autonomic control, **REM** in the platypus resembles **REM** in placental mammals.

A series of Von Frey hairs, applied to the dorsal midline of the neck, were used to measure arousal threshold in an unimplanted male, an implanted male and in an implanted female animal (Terashima and Liang, 1994; Murray et al., 1994). Immediately after the onset of a quiet sleep period, stimuli of 2 grams (n=10 in each animal, range 1-3 grams) were sufficient to arouse the platypus. However, during the periods of behaviorally identified REM sleep, the platypus were difficult to arouse. Pressures required for arousal always exceeded 20 grams and were often as high as 50 grams (n=10, mean = 35 gms, range 20-50 grams). When aroused in this way the platypus would run from the stimulus, often leaving the burrow and entering the pool.

DISCUSSION

Sleep in the platypus

We found that the platypus has a state with the EOG, EMG, ECG and arousal threshold changes typical of nonREM sleep and a state meeting generally employed criteria for REM sleep. The REM sleep state was characterized by phasic head, bill and eye movements. The ECG lost the regular sinus arrhythmia of quiet sleep during the REM sleep state, as is the case in placental mammals.

Platypus are present only along the eastern coast of Queensland, Victoria, New South Wales and Tasmania. Despite this restricted range, their population is stable and they are not considered endangered (Grant, 1992). However, the use of monotremes in research is subject to very rigorous regulation by the State governments of Australia. In order to meet the requirements of the Queensland government and minimize use of these unique animals, we restricted our work to four platypus. We observed abundant REM sleep, by behavioral criteria, in all four of the animals we monitored. The quantitative analysis of sleep time described in Tables 1 and 2 was derived from observation and continuous recording of polygraphic variables in a 1.5 Kg male platypus occurring on days 9 and 10 of a 2 week observation period. We did not feel we could justify, or get approval for, further studies aimed at repeating our recordings for the purpose of assessing individual differences in sleep time in a larger group of animals. In cats (Ursin and Sterman et al., 1981), rats (Clancy et al., 1978) and humans (Carskadon and Dement, 1994), the most thoroughly studied mammals, there is relatively little individual to individual variation in sleep stage times, with 1-3% standard deviations in the proportion of the 24 hour period devoted to REM sleep. Therefore it is likely that the sleep state durations that we found are close to the mean values for all platypus studied in a laboratory environment. However, further studies would be needed to determine the distribution of sleep states in the general population of adult platypus and to evaluate the extent of age and sex differences.

In intact, adult placental and marsupial mammals, EEG voltage reduction occurs at REM sleep onset and is maintained throughout this state. In some cases, muscle atonia begins at REM sleep onset, although often it is present in nonREM sleep. These continuous indicators of state make it relatively easy to score onset and offset. In the platypus, these indicators are not present, as is the case in neonatal humans and other altricial mammals. To make the most meaningful estimate of REM sleep time, we observed the platypus for extended periods of time and, using an event marker channel, indicated observed head and bill movements on the polygraph record. We then calculated the average amplitudes of the correlated deflections seen on the EMG and EOG channels. We required that each 1 min epoch contain one deflection exceeding the mean amplitude of these deflections to score an epoch as REM sleep. To determine the effect of scoring rules, we also scored the same data using three deflections exceeding the threshold set by visual observation as a requirement for REM sleep. The stricter three event criterion resulted in shorter average durations for REM sleep epochs, but not a great change in total REM sleep time (Tables 1 and 2).

We worked exclusively with adult animals. If the general mammalian pattern is followed in the platypus, one would expect that younger animals would have more REM sleep. Mammals typically have maximal amounts of REM sleep at thermoneutrality (Schmidt-Kessen and Kendel, 1973; Kent and Satinoff, 1990). Whereas the ambient temperatures at which the recording were conducted were within the normal range (20-23° C), it certainly is possible that REM sleep amounts would differ at other ambient temperatures. Light cycles can have a small effect on REM sleep durations (Deboer and Tobler, 1996; Faradji et al., 1980). Our animals were exposed to a natural light cycle and slept in their dark burrows. However it is possible that exposure to different light cycles during waking could alter their sleep times in the burrow. All of these considerations indicate that the parameters of sleep we have recorded may not be precisely reflected in the wild. To the extent that conditions in the laboratory were less hospitable than those in the wild, one would expect even more REM sleep in the natural population than we have seen here. Perhaps other conditions in the laboratory that we are unaware of increased REM sleep. However, we know of no manipulations in other species that would cause an elevation in REM sleep time to the extraordinary high levels seen in the platypus.

Our studies, under laboratory conditions comparable to those used for measuring REM sleep amounts in other animals, show that the platypus not only has REM sleep, but also has very large amounts of REM sleep. Using standard scoring criteria (Jouvet-Mounier et al., 1970; Ursin and Sterman, 1981) we find that the platypus spends more time in REM sleep than any other animal (Marks and Shaffery, 1996; Zepelin, 1994).

EEG of the platypus and the echidna

The moderate and high voltage EEG of platypus REM sleep is unlike the low voltage REM sleep EEG seen in adult placental and marsupial mammals. In our prior work, we have reported that in the echidna, activation of brainstem reticulo-motor systems also occurs while the EEG is high in amplitude. These findings suggest that the low voltage EEG activation of REM sleep seen in placentals and marsupials is derived and evolved after the divergence of the monotreme line. Cortical desynchronization may be a relatively recent development in the history of mammalian REM sleep and is unlikely to have been linked to its original function(s). Rather, these functions are more likely to have been linked to brainstem processes, in keeping with the fact that brainstem mechanisms are both necessary and sufficient to generate this state (Siegel, 1994).

The REM sleep periods without low voltage EEG seen in the platypus are similar to the "active sleep" periods seen early in development in altricial mammals (Harper et al., 1981). In altricial mammals, with development, these periods gradually transition to the REM sleep state with low voltage EEG. The occurrence of sleep-onset REM periods is also a well known property of sleep early in development (Parmalee and Stern, 1972). These properties of monotreme sleep suggest that in these instances ontogeny is "recapitulating phylogeny."

Similarities and differences in platypus and echidna sleep

Our prior study showed that, during sleep in the echidna, medial reticular formation neurons have an irregular burst-pause discharge pattern that resembles the discharge pattern during placental REM sleep. However, unlike other mammals, their sleep related discharge is usually asynchronous in simultaneously recorded neurons and we saw no twitching of the eye or neck (Siegel et al., 1996). This suggests that this terrestrial monotreme has lost the high degree of synchrony between bursting brainstem neurons that is responsible for REM sleep twitching.

In contrast to the high somatosensory pressures required to arouse the platypus, the echidna always aroused with Von Frey pressures less than 2 grams (Siegel, Manger and Pettigrew, unpublished observations). The arousal threshold differences illustrate the evolutionary divergence between these species in sleep characteristics and are consistent with the idea that the echidna has had to adapt to a much less secure sleeping site. In contrast to the platypus, which is not vulnerable to predation when sleeping in its burrow (Burrell, 1927), the echidna is exposed during sleep (Griffiths, 1978). Although its spines provide some protection, twitching movements of the spines during sleep would attract predators. The echidna's sleep may represent an evolutionary adaptation of the extraordinarily deep sleep of its platypus-like ancestor to the requirements of the echidna's more vulnerable ecological niche.

Conclusions: Monotreme sleep and the evolution of sleep states

Our findings do not support the concept that REM sleep evolved after the appearance of the first mammals. Rather they are consistent with the hypothesis that REM sleep was present in very large amounts in the earliest mammals. They suggest that the immediate reptilian ancestors of the early mammals either had REM sleep or had a state with many of the neural correlates of REM sleep, or that REM sleep evolved very rapidly in the mammalian line. Since REM sleep is present in birds, the most parsimonious hypothesis is that REM sleep evolved only once and was present in the common ancestors of birds and mammals. If this is the case, the dinosaurs ancestral to the birds (Baker, 1993) may also have had REM sleep.

If the stem reptiles, the common ancestors of birds and mammals, had REM sleep or some neuronal aspects of REM sleep in a REM sleep precursor state, one would expect that extant reptiles would also have some of these same sleep state characteristics. Investigation of reptilian sleep has not produced conclusive evidence on this question. Whereas several papers have claimed that reptiles have REM sleep (Ayala-Guerrero et al., 1991; Huntley 1987; Peyrethon and Dusan-Peyrethon, 1968; Rial, 1997; Tauber et al., 1966; Tauber et al., 1968; Vasilescu, 1970), other studies performed after the Allison et al.'s (1972) study of the echidna, concluded that reptiles do not have REM sleep (Flanigan, 1974; Flanigan et al., 1973, 1974; Meglasson and Huggins, 1979). All of these studies used polygraphic recordings of "EEG" and EMG to categorize state. It is particularly difficult to interpret EEG signals as a state indicator in reptiles, since the current work shows that monotremes, the most "reptilian" mammals, have REM sleep without the low voltage pattern seen in adult placental and marsupial mammals. Further insight into the nature of sleep and its probable evolutionary history could be achieved by examining, in reptiles, the activity of the brainstem neuronal groups that are involved in mammalian REM sleep control (Siegel, 1994); particularly the aminergic and cholinergic cell groups that exist in the same regions of reptilian and mammalian brains (Luebke et al., 1992; Smeets et al., 1997; Wolters et al., 1984, 1985; Kiehn et al., 1992).

REFERENCES

- Allison, T., H. Van Twyver, and W. R. Goff (1972) Electrophysiological studies of the echidna, *Tachyglossus aculeatus*. I. Waking and sleep. *Arch. ital. Biol* 110: 145-184.
- Archer, M., T. F. Flannery, A. Ritchie, and R. E. Molnar (1985) First Mesozoic mammal from Australia - an early cretaceous monotreme. *Nature* 318: 363-365.
- Archer, M., F. Jenkins, S. Hand, P. Murray, and H. Godthelp (1992) Description of the skull and non-vestigial dentition of a Miocene platypus (*Obdurodon dicksoni* n. sp.) from Riversleigh, Australia, and the problem of monotreme origins. In *Platypus and Echidnas*, M. Augee, ed., pp. 15-27., Royal Zoological Society of NSW, Mosman.
- Ayala-Guerrero, F., and S. Huitron-Resendiz (1991) Behavioral and electrophysiological patterns of wakefulness-sleep states in a lizard. *Bol. Estud. Med. Biol.* 39: 9-14.
- Ayala-Guerrero, F., and S. Huitron-Resendiz (1991) Sleep patterns in the lizard *Ctenosaura pectinata*. *Physiol. Behav.* 49: 1305-1307.
- Bakker, R. T. (1993) *The dinosaur heresies*. Zebra Books, New York.
- Carskadon, M. A., and W. C. Dement (1994) Normal human sleep: an overview. In *Principles and Practice of Sleep Medicine*, M. H. Kryger, T. Roth and W. C. Dement, eds., pp. 16-25., W.B. Saunders Company, Philadelphia.
- Burrell, C. M. Z. S. (1927) *The Platypus*. Angus & Robinson, Sydney.
- Clancy, J. J., D. F. Cladwell, M. J. Villeneuve, and S. Sangiah (1978) Daytime Sleep-Wake Cycle in the Rat. *Physiology and Behavior* 21: 457-459.
- Clemens, W. A. (1989) Diagnosis of the class mammalia. In *Fauna of Australia*, D. W. Walton and B. J. Richardson, eds., pp. 401-406., Australian Government Publishing, Canberra.
- Deboer T; Tobler I. (1996) Shortening of the photoperiod affects sleep distribution, EEG and cortical temperature in the Djungarian hamster. *J. Comp. Physiol. Sensory, Neural, and Behavioral Physiology*, 179:483-92.
- Evans, B. K., D. R. Jones, J. Baldwin, and G. R. J. Gabbot (1994) Diving ability of the platypus. *Aust. J. Zool.* 42: 17-27.
- Faradji, H., R. Cespuglio, G. Rondot, L. Paut, and M. Jouvet (1980) Absence of Light-Dark Entrainment on the Sleep-Waking Cycle in Mice with Intact Visual Perception. *Brain Research* 202: 41-49.
- Flanigan Jr., W. F., C. P. Knight, K. M. Hartse, and A. Rechtschaffen (1974) Sleep and wakefulness in chelonian reptiles I. - the box turtle, *Terrapene carolina*. *Arch. ital. Biol* 112: 227-252.
- Flanigan Jr., W. F., R. H. Wilcox, and A. Rechtschaffen (1973) The EEG and behavioral continuum of the crocodilian caiman sclerops. *Electroenceph. Clin. Neurophys.* 34: 521-538.
- Flannery, T. F. (1989) Origins of the Australo-Pacific mammal fauna. *Aust. Zool. Rev.* 1: 15-24.

- Grant, T. (1992) Historical and current distribution of the platypus *Ornithorhynchus anatinus*, in Australia. In *Platypus and Echidnas*, M. Augee, Ed., pp. 232-254., Royal Zoological Society of NSW, Mosman.
- Grant, T. R., R. Williams, and F. N. Carrick (1977) Maintenance of the platypus (*Ornithorhynchus anatinus*) in captivity under laboratory conditions. *Australian Zoologist* 19: 117-124.
- Griffiths, M. (1978) *The biology of the monotremes*. Academic Press, New York.
- Griffiths, M., R. T. Wells, and D. J. Barrie (1991) Observations on the skulls of fossil and extant echidnas (*Monotremata tachyglossidae*). *Australian Mammalogy* 14: 87-101.
- Harper, R. M., B. Leake, L. Miyahara, J. Mason, T. Hoppenbrouwers, M. B. Sterman, and J. Hodgman (1981) Temporal Sequencing in Sleep and Waking States during the First 6 Months of Life. *Experimental Neurology* 72: 294-307.
- Harper, R. M., B. Leake, L. Miyahara, T. Hoppenbrouwers, M. B. Sterman, and J. Hodgman (1981) Development of ultradian periodicity and coalescence at 1 cycle per hour in electroencephalographic activity. *Experimental Neurology* 73: 127- 143.
- Huntley, A. C. (1987) Electrophysiological and behavioral correlates of sleep in the desert iguana, *dipsosaurus dorsalis hallowell*. *Comp. Biochem. Physiol.* 86A: 325-330.
- Huttenlocher, P. R. (1961) Evoked and spontaneous activity in single units of medial brain stem during natural sleep and waking. *J. Neurophysiol.* 24: 451- 468.
- Jouvet-Mounier, D., L. Astic, and D. Lacote (1970) Ontogenesis of the states of sleep in rat, cat, and guinea pig during the first postnatal month. *Dev. Psychobiol.* 2: 216-239.
- Kent, S., and E. Satinoff (1990) Influence of ambient temperature on sleep and body temperature after phentolamine in rats. *Brain Res.* 511: 227-233.
- Kemp, T. (1982) *Mammal-Like Reptile and the Origin of Mammals*. Academic Press, London.
- Kiehn, O., E. Rostrup, and M. Moller (1992) Monoaminergic systems in the brainstem and spinal cord of the turtle *Pseudemys scripta elegans* as revealed by antibodies against serotonin and tyrosine hydroxylase. *J. Comp. Neurol.* 325: 527-547.
- Krubitzer, L., P. Manger, J. Pettigrew, and M. Calford (1995) Organization of somatosensory cortex in monotremes: In search of the prototypical plan. *J. Comp. Neurol.* 351: 261-306.
- Luebke, J., J. Weider, R. McCarley, and R. Greene (1992) Distribution of NADPH- diaphorase positive somata in the brainstem of the monitor lizard *Varanus exanthematicus*. *Neurosci. Lett.* 148: 129-132.
- Manger, P. R., and J. D. Pettigrew (1995) Electrorception and the feeding behavior of platypus *Ornithorhynchus anatinus*: *Monotremata: Mammalia*. *Phil. Trans. R. Soc. Lond. B* 347: 359-381.
- Manger, P. R., and J. D. Pettigrew (1996) Ultrastructure, number, distribution and innervation of electroreceptors and mechanoreceptors in the bill of the platypus, *Ornithorhynchus anatinus*. *Brain Behav Evol* 48: 27-54.
- Marks, G. A., and J. P. Shaffery (1996) A preliminary study of sleep in the ferret, *Mustela putorius furo*: a carnivore with an extremely high proportion of REM sleep. *Sleep* 19: 83-93.
- Meglasson, M. D., and S. E. Huggins (1979) Sleep in a crocodilian, *Caiman sclerops*. *Comp. Biochem. Physiol* 63a: 561-567.
- Murray RA; Essick GK; Kelly DG. Effect of stimulus force on perioral direction discrimination: clinical implications. *Journal of Oral and Maxillofacial Surgery*, 1994 Jul, 52:688-97.
- Parmelee, A. H., and E. Stern (1972) Development of States in Infants. In *Sleep and the Maturing Nervous System*, C. Clemente, D. Purpura and F. Mayer, eds., New York.
- Pascual, R., M. Archer, E. Ortiz Jaureguizar, J. Prado, H. Godthelp, and S. Hand (1992) The first non-Australian monotreme: an early Paleocene South American platypus (*monotremata, ornithorhynchidae*). In *Platypus and echidnas*, M. Augee, ed., pp. 1-14., Royal Zoological Society of NSW, Mosman.
- Peyrethon, J., and D. Dusan-Peyrethon (1968) Etude polygraphique de cycle veille-sommeil chez trois genres de reptiles. *Seanc. Soc. Biol.* 162: 181-186.
- Raetz, S. L., C. A. Richard, A. Garfinkel, and R. M. Harper (1991) Dynamic characteristics of cardiac R-R intervals during sleep and waking states. *Sleep* 14: 526-533.
- Rial, R.V. (1997) The evolution of waking states. In *Sleep and sleep disorders: from molecule to behavior*. O. Hayaishi and S. Inoue (Eds.), Takeda Science Foundation, 1997
- Scheich, H., G. Langner, C. Tidemann, R. Coles, and A. Guppy (1986) Electrorception and electrolocation in platypus. *Nature* 319: 401-412.
- Schmidt-Kessen, W., and K. Kendel (1973) Influence of room temperature on night sleep in man. *Res. Exp. Med. Berlin* 160: 220-233.
- Siegel, J. M. (1994) Brainstem mechanisms generating REM sleep. In *Principles and Practices of Sleep Medicine*, M. H. Kryger, T. Roth and W. C. Dement, eds., pp. 125-144., W.B. Saunders Company, Philadelphia.
- Siegel, J. M., P. Manger, R. Nienhuis, H. M. Fahringer, and J. Pettigrew (1996) The echidna *Tachyglossus aculeatus* combines REM and nonREM aspects in a single sleep state: implications for the evolution of sleep. *J. Neuroscience* 15: 3500-3506.
- Siegel, J. M., D. J. McGinty, and S. M. Breedlove (1977) Sleep and waking activity of pontine gigantocellular field neurons. *Exp. Neurol.* 56: 553-573.
- Siegel, J. M., and K. S. Tomaszewski (1983) Behavioral organization of reticular formation: Studies in the unrestrained cat. I. Cells related to axial, limb, eye, and other movements. *J. Neurophysiol.* 50: 696-716.
- Smeets, W. J. A. J., J. R. Alonso, and A. Gonzalez (1997) Distribution of NADPH- diaphorase and nitric oxide synthase in relation to catecholaminergic neuronal structures in the brain of the lizard *Gecko gecko*. *J. Comp. Neurol.* 377: 121-141.

Tauber, E. S., H. P. Roffwarg, and E. D. Weitzman (1966) Eye movements and electroencephalogram activity during sleep in diurnal lizards. *Nature* 213: 1612-1613.

Tauber, E. S., J. Rojas-Ramirez, and R. Hernandez-Peon (1968) Electrophysiological and behavioral correlates of wakefulness and sleep in the lizard (*Ctenosaura pectinata*). *Electroenceph. Clin. Neurophysiol.* 24: 424- 443.

Terashima SI; Liang YF. Touch and vibrotactile neurons in a crotaline snake's trigeminal ganglia. *Somatosensory and Motor Research*, 1994, 11:169-81.

Ursin, R., and M. B. Sterman (1981) A manual for standardized scoring of sleep and waking states in the adult cat. Brain Information Service/Brain Research Institute. University of California, Los Angeles.

Vasilescu, E. (1970) Sleep and wakefulness in the tortoise (*Emys orbicularis*). *Rev. Roum. Biol.-Zool.* 15: 177-179.

Westerman, M., and D. Edwards (1992) DNA hybridization and the phylogeny of monotremes. In *Platypus and Echidnas*, M. Augee, ed., pp. 28-34., Royal Zoological Society of NSW, Mosman.

Whittington, R. (1991) The survival of platypuses in captivity. *Aust. Vet. J.* 68: 32-35.

Zepelin, H. (1994) Mammalian sleep. In *Principles and Practice of Sleep Medicine*, M. H. Kryger, T. Roth and W. C. Dement, eds., pp. 69-80., W.B. Saunders Company, Philadelphia.

TABLE I

Table of sleep state durations in minutes and percent sleep time, based on a continuous 48h period of EEG, EOG and EMG telemetry recording, scored in 1 min epochs. The criterion for REM-M and REM-H was more than one phasic event exceeding the mean phasic event amplitude per epoch (see text).

State	Hours/Day	% Sleep time	Episode duration	Episode range
W	9.7	--	25.1±73.5	0.3-390
QS-M	4.8	33.8	13.1±10.7	0.3-42.7
QS-H	1.4	9.9	7.7±9.7	1.0-31
REM-M	7.2	50.6	12.1±13.5	1.0-74
REM-H	0.8	5.7	7.8±6.5	1.0-24.5

TABLE 2

Table of sleep state durations in minutes and percent sleep time, based on a continuous 48h period of EEG, EOG and EMG telemetry recording, scored in 1 min epochs. The criterion for REM-M and REM-H was more than three phasic events exceeding the mean phasic event amplitude per epoch. Using these criteria instead of the one phasic event criterion used in Table I, caused a large drop in the mean duration of REM periods, but a relatively small change in total REM time.

State	Hours/Day	% Sleep time	Episode duration	Episode range
W	9.7	--	25.4±73.4	0.3-390
QS-M	6.7	47.0	5.5±7.8	0.3-42.7
QS-H	1.7	12.2	7.2±7.7	4.6-31
REM-M	5.2	36.8	5.3±6.3	1.7-32.8
REM-H	0.6	4.0	3.1±2.7	1.0-10.8

FIGURES

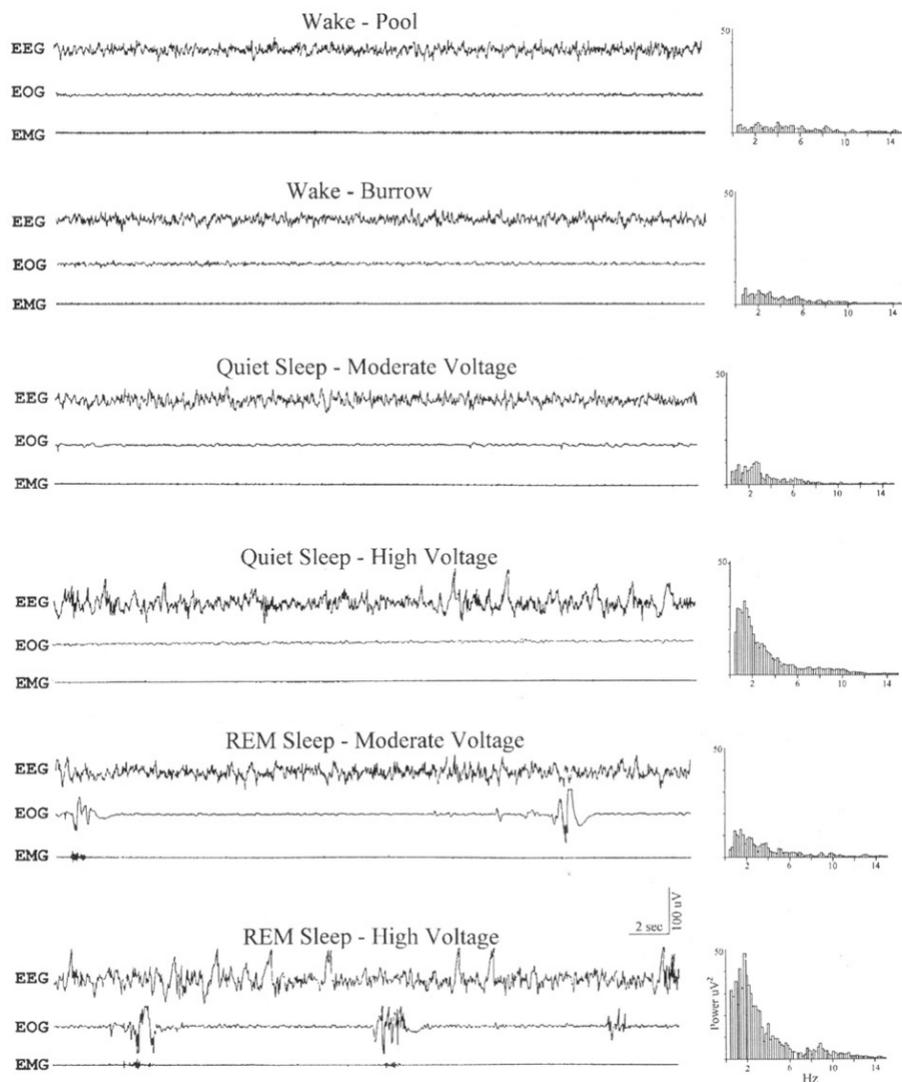


Figure 1. EEG, EOG, EMG and EEG power spectra of samples shown of sleep-wake states in the platypus. **Wake** (in pool and burrow), Quiet Sleep with Moderate or High voltage EEG, (**QS-M** or **QS-H**), REM sleep with Moderate (**REM-M**) and High (**REM-H**) voltage.

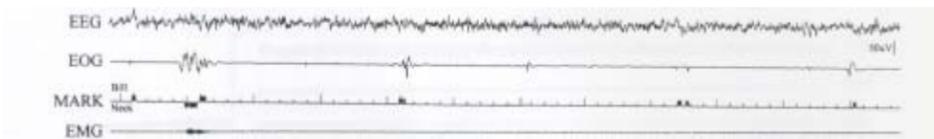


Figure 2. Visually observed REM sleep episode. Bill deflections are indicated with upward movement of the pushbutton activated marker pen. Neck movement is indicated by downward deflection of the pen. Tics on the third channel are at one sec. intervals.

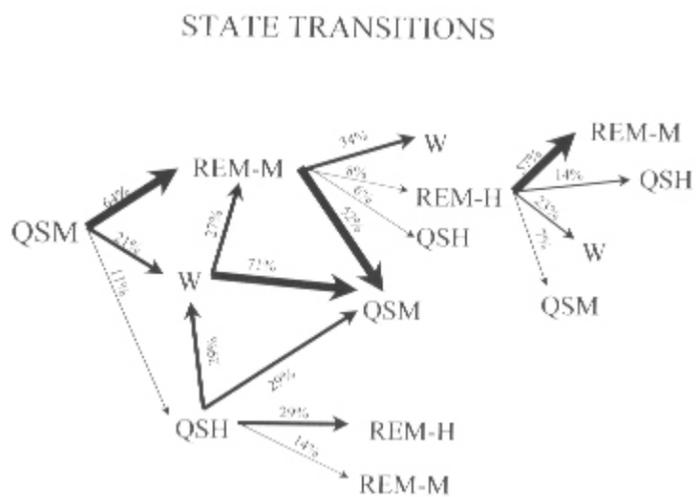


Figure 3. State transition probabilities during sleep in the platypus, based on a 48 h recording and using the one phasic event criterion for scoring REM sleep (**Table 1**). Only transition types that occurred two or more times within the period of observation are shown. The most common sleep cycle course in the platypus was **QS-M** to **REM-M** to **Wake**.

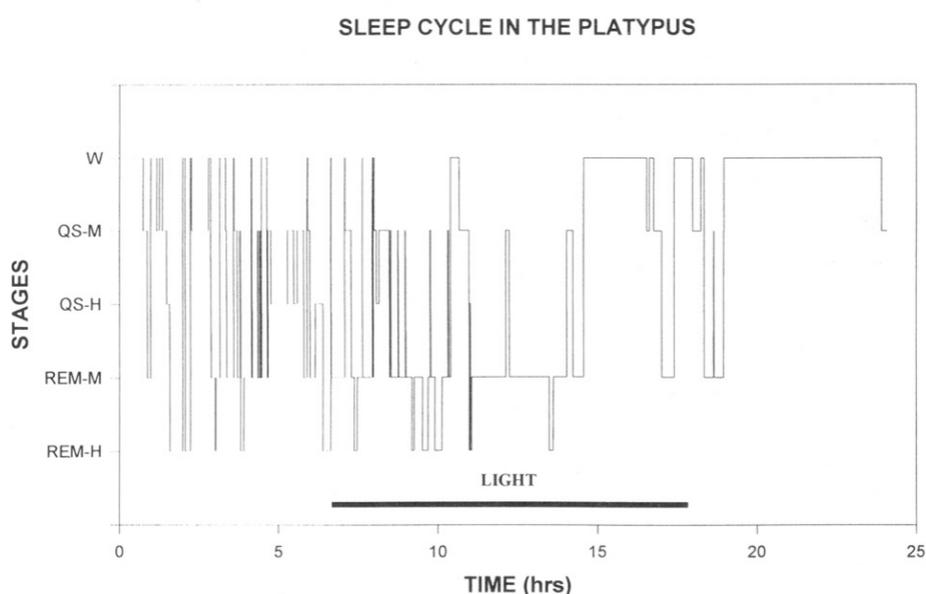


Figure 4. Hypnogram showing the state transitions occurring over a 24 hour period beginning at 11:00 AM. Sleep states had a wide range of durations.

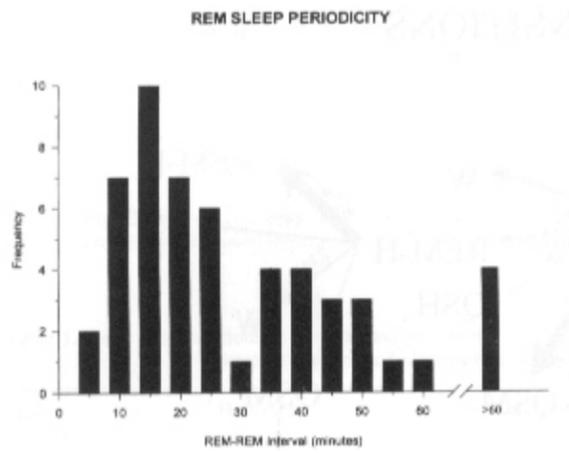


Figure 5. Histogram showing distribution of intervals from onset of REM sleep period to onset of subsequent REM sleep based on 48 hour recording period. The modal sleep cycle interval in the platypus is 15 min.

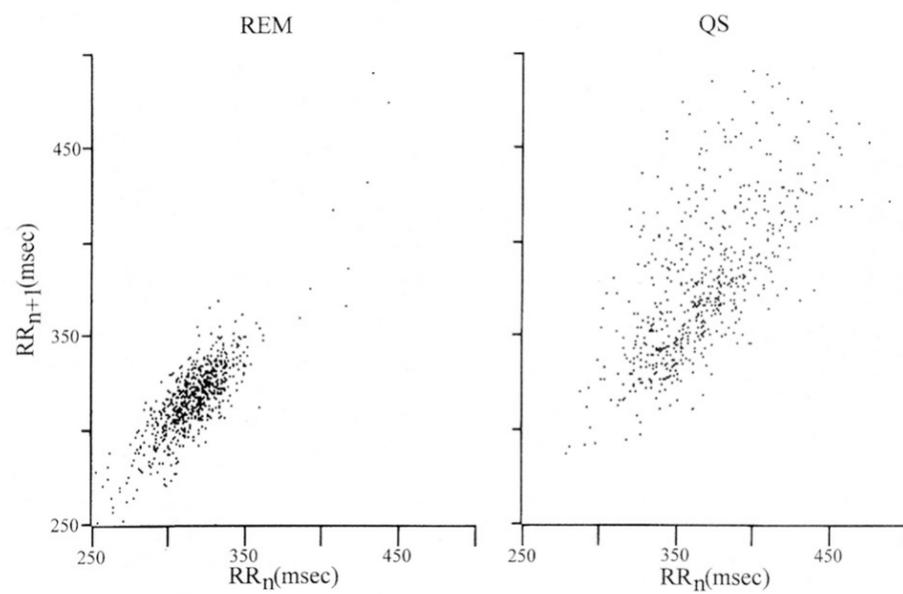


Figure 6. Poincaré plots of ECG (R wave to R wave interval plotted against subsequent interval) of REM sleep (**REM**) and Quiet Sleep (**QS**). **REM** and **QS** had differing patterns of interbeat intervals. The decreased beat to beat dispersion of the **REM** period relative to **QS** resembles that of REM sleep in eutherians.