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# Invited Review Neuroendocrine modulation of cancer progression \*

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### 1. Introduction

The notion that psychosocial factors can affect malignant progression has long been suspected (Reiche et al., 2004). Clinical and epidemiological studies have recognized that specific psychosocial factors, such as stress, chronic depression, and lack of social support are risk factors for the development and progression of cancer. However, as a whole, data supporting a relationship between behavioral risk factors and cancer initiation have been inconsistent. In contrast, behavioral factors are more reliably found to predict differences in tumor progression (Antoni et al., 2006). For example, some recent studies have not found a relationship between stressful life events (Duijts et al., 2003) or personality (Bleiker et al., 2008) and development of cancer, although several others have reported such relationships, particularly in situations of severe life stress (Lillberg et al., 2003; Price et al., 2001). A process in which severe stressful situations accumulate resulting in a positive influence on cancer initiation might explain the differences observed between studies. Additionally, in some of these studies, the quality of self-reported measures varies, and longitudinal assessment of factors such as depression and stress is rare.

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#### ABSTRACT

Clinical and animal studies now support the notion that psychological factors such as stress, chronic depression, and lack of social support might promote tumor growth and progression. Recently, cellular and molecular studies have started to identify biological processes that could mediate such effects. This review provides a mechanistic understanding of the relationship between biological and behavioral influences in cancer and points to more comprehensive behavioral and pharmacological approaches for better patient outcomes.

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Clinical studies focused on the relationship between behavior and the progression of already-diagnosed cancers have found that factors such as suppression of negative emotions, hopelessness, denial, and lack of social support are associated with poorer survival (Antoni et al., 2006). These clinical data parallel results from animal-based studies demonstrating that experimentally imposed stress can modulate cancer progression (Thaker and Sood, 2007). Recent mechanistic studies have started to uncover specific signaling pathways that are activated through the neuroendocrine stress response, resulting in promotion of tumor growth and metastasis. Even though this review will focus on the neuroendocrine, cellular, and molecular processes that are capable of mediating the relationship between behavioral factors and cancer growth and progression, important glucocorticoid-mediated pathways will remain outside of the scope of this review. Before proceeding to explore neuroendocrine mechanisms on cancer progression, we begin this review with a brief summary of neuroendocrine responses to stressors and the cancer initiation and metastasis processes.

#### 2. Neuroendocrine stress response

Stress reflects an intricate process involving environmental and psychosocial factors that set off multiple information processing pathways in the central nervous system (CNS) and periphery. These pathways elicit fight or flight stress responses in the autonomic nervous system (ANS), or defeat/withdrawal responses that are produced by the hypothalamic-pituitary-adrenal axis (HPA) (Glaser and Kiecolt-Glaser, 2005). Activation of these pathways



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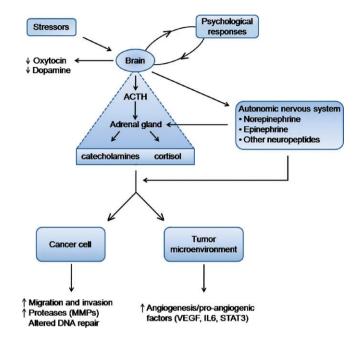
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normally prepares a person to endure a threat; however, under chronic stress, most organs are negatively affected by extended exposure to glucocorticoids and catecholamines (Antoni et al., 2006). These changes can produce harmful health consequences such as increased risk for cardiac disease, slower wound healing, and increased risk for infection. In the past decade, it has become increasingly clear that chronic changes in neuroendocrine dynamics can also alter various physiological processes that play a role in tumor pathogenesis.

HPA responses are a result of hypothalamic production of corticotrophin-releasing factor and arginine vasopressin, both of which promote the secretion of pituitary hormones, such as adrenocorticotropic hormone (ACTH), enkephalins, and endorphins. ACTH release stimulates the adrenal cortex to release glucocorticoids such as cortisol. Glucocorticoids can influence growth, metabolism and immune function, and play a critical role in regulating basal function and stress reactivity among different organs. Sympathetic nervous system (SNS) activation and subsequent release of catecholamines (principally norepinephrine and epinephrine) from sympathetic neurons and the adrenal medulla mediate ANS stress responses. Catecholamine levels have been found to be elevated in individuals who experience acute or chronic stress, and mediate ANS influences on cardiac, respiratory, vascular, and other organ systems (Antoni et al., 2006). Multiple stressors are linked with alterations in the HPA and/or ANS including marital disruption, bereavement, depression, chronic sleep disruption, severe trauma, and post-traumatic stress disorder (Glaser and Kiecolt-Glaser, 2005). In this review article, we will consider the potential influences of stress-induced neuroendocrine responses on cancer initiation and progression.

#### 3. Cancer Initiation

Multiple studies suggest that human tumorigenesis is part of a multistep process. Each of these sequential steps reflects genetic changes that cause progressive alteration of normal human cells into malignant cells. The process in which normal cells convert into a cancerous state seems to require various rate-limiting steps that include genetic and epigenetic changes. For example, to acquire tumorigenicity, human cells must overcome two barriers, replicative senescence and cellular crisis, each limiting the cell lifespan in an effort to achieve immortalization. These barriers are regulated by telomere shortening and by the RB and p53 tumor suppressor pathways (Hanahan and Weinberg, 2000). In a landmark paper, Hanahan and Weinberg proposed six essential alterations in cell physiology that mediate malignant growth: (1) self-sufficiency in growth signals, (2) insensitivity to growth-inhibitory signals, (3) evasion of programmed cell death, (4) limitless replicative potential, (5) sustained angiogenesis, and (6) tissue invasion and metastasis (Hanahan and Weinberg, 2000). Each of these gained capabilities is a direct result of normal host defense evasion that results in the promotion of malignant cell growth. Viral infections in some tumors, such as Kaposi's sarcoma and cervical cancer, play an important role in gaining some of these capabilities by delivering new genes into the cell. Additionally, carcinogens can cause physical damage to cellular DNA that alters the expression or function of human genes. Interestingly, a recent animal study suggested that chronic stress can increase the susceptibility to UVinduced squamous cell carcinoma by suppressing type 1 cytokines and protective T-cells and by enhancing regulatory/suppressor T cell numbers (Saul et al., 2005). Ultimately, it does not matter whether tumors are initiated by viral infections or carcinogens; the end product is a somatic cell that posses enhanced growth capacity and an increased ability to thrive within the body by acquiring new genetic changes. This process of tumor initiation is often facilitated by bidirectional signaling interactions between a



**Fig. 1.** Effect of stress-associated factors on the tumor microenvironment. The stress response involves the central nervous system's ability to perceive a threat. This response results in activation of the autonomic nervous system and the hypothalamic-pituitary-adrenal axis causing the release of catecholamines, gluco-corticoids, and other stress hormones from the adrenal gland, brain, and sympathetic nerve terminals. These factors can alter the activity of various components of the tumor microenvironment. Effects include alteration of DNA repair mechanisms, enhanced tumor growth, increased cell migration and invasion, and stimulation of angiogenesis by increasing production of angiogenic factors. Collectively, these downstream effects result in the establishment of a favorable microenvironment that will support tumor initiation, growth, and progression.

developing tumor cell and the surrounding cells of the tumor microenvironment (e.g., vascular endothelial cells, macrophages and other immune cells, connective tissues, and basement membranes) (Langley and Fidler, 2007). Local inflammatory signaling is a microenvironmental dynamic that is believed to support the growth of initiated tumor cells (Coussens and Werb, 2002) (see Fig. 1).

## 4. Metastasis

After a cell acquires tumorigenic potential and has established its microenvironment, cancer metastasis can occur after cells begin spreading from the primary tumor to distant organs. This process is followed by persistent growth at those sites. Frequently, metastases form clinically relevant lesions that result in host death due to resistance to conventional therapy. The metastatic cascade consists of several rate-limiting sequential steps, the first of which involves the recruitment of blood vessels. Vascularization must be established for a tumor mass to exceed 1 mm in diameter and also provides a pathway for dissemination of metastatic cells. To escape the primary tumor site, a tumor cell must be able to invade through surrounding tissue (e.g., basement membrane), detach from the primary tumor mass, embolize into the blood stream, arrest in capillary beds of organs, extravasate out of the blood stream and into parenchymal tissue, evade host defenses (e.g., immune responses) and continue to grow. If the cell is not able to complete any of the steps in the metastatic cascade, the process is aborted (Langley and Fidler, 2007).

Cancers from distinct primary tissue sites exhibit organ-specific metastatic patterns. At the end of the 19th century, British scientist Stephen Paget showed that different types of primary cancers established metastases in distinct target organs, and he ingeniously proposed that these patterns were due to the dependence of the cancer cell on the metastatic site's microenvironment. He called this the "seed and soil" hypothesis (Langley and Fidler, 2007). If the regulation of metastasis is considered in terms of a balance between growth stimulating factors from tumor and host cells versus inhibitory signals, successful metastasis requires that the balance be shifted toward increased levels of stimulatory signals. Even though Paget's observations date back more than 100 years, the mechanistic role of the tumor microenvironment has only recently begun to be uncovered. Tumor growth and progression is a direct result of a constant crosstalk between various cell types within the tumor and the tumor stroma, which serves as the tumor-supporting tissue. The tumor stroma possesses specific extracellular matrix, cellular components such as fibroblasts, immune and inflammatory cells, and blood-vessel cells. As a result, the signaling interaction between tumor and stroma contributes to the development and maintenance of primary and secondary tumor growth (Langley and Fidler, 2007). The organ microenvironment also has the ability to alter gene-expression patterns in cancer cells and thereby alter tumor cell behavior and growth. For example, studies with breast cancer cells have shown that environmental influences can potentially dominate over the tumor genotype to revert cancer cells to a non-malignant phenotype, even while the cells remain genotypically malignant (Bissell, 2007). Recent studies also show that chemokines and their receptors may contribute to organ-specific metastasis. For example, cancer cells often express chemokine receptors, and ligands for these receptors are usually found at high levels in target sites for cancer metastasis (Langley and Fidler, 2007).

#### 5. Neuroendocrine effects on cancer progression pathways

#### 5.1. Primary tumor growth and metastasis development

At both primary and metastatic sites, activation of autocrine, paracrine, and/or endocrine pathways can influence tumor cell proliferation by shifting the net balance of positive and negative signals (Langley and Fidler, 2007). Most data seem to suggest that catecholamines repress normal cell proliferation, such as slowing keratinocyte growth, resulting in impaired wound healing in the context of stress (Flaxman and Harper, 1975). In breast cancer, some studies in model systems have linked  $\beta_2$ -adrenergic receptor activation to increased tumor growth and progression. However, some studies show that inhibition of the Raf-1/Mek-1/Erk1/2 pathway by a  $\beta$ -adrenergic receptor agonist can result in inhibition of tumor growth. (Carie and Sebti, 2007). Another study utilized a neuroblastoma model to show that norepinephrine inhibited cancer cell growth, specifically in cells that expressed the dopamine transporter. In cells that had dopamine uptake, the ratio of cells in G<sub>0</sub>/G<sub>1</sub> was greatly increased after treatment with norepinephrine (Pifl et al., 2001).

 $β_2$ -Adrenergic receptors may also facilitate the effects of other stimuli on cell proliferation in cancer. For example one recent study showed that these receptors contribute to nicotine-induced activation of the protein kinase C/Erk1/2/cyclo-oxygenase 2 pathway, leading to enhanced gastric cancer cell proliferation (Shin et al., 2007). The cAMP responsive element-binding (CREB) protein is a key transcriptional factor that is activated by various signaling pathways in response to external stimuli such as stress hormones. CREB also plays an important role in tumor cell proliferation, migration, angiogenesis, and apoptosis inhibition (Jean and Bar-Eli, 2000). Thus, β-adrenergic receptor signaling might interact with other CREB activators to modulate molecular processes involved in tumor progression. Viral infections are key cofactors in the initiation of approximately 20% of human tumors, and all major human tumor-associated viruses have been found to be activated by either  $\beta$ -adrenergic or glucocorticoid-mediated signaling pathways (Antoni et al., 2006). For example, Human herpesvirus 8, which induces Kaposi's sarcoma, bears a cAMP response element in the promoter of a key viral transcription factor.  $\beta$ -Adrenergic stimulation of the viral host cell induces CREB-mediated expression of viral oncogenes and growth factors. Epstein-Barr virus and high-risk variants of the human papilloma virus are similarly subject to activation by glucocorticoids (Antoni et al., 2006).

In a prostate carcinoma model, treatment with cAMP agonists resulted in epithelial prostate cancer cells acquiring neuroendocrine characteristics (Cox et al., 1999). These characteristics were represented by dense core granules in the cytoplasm, the extension of neuron-like processes, loss of mitogenic activity, and expression of various neuroendocrine markers. The presence of these neuroendocrine-like cells has been linked to poor prognosis in prostate cancer patients (Cohen et al., 1991). These neuroendocrine-like cells have minimal proliferation rates, but appear to promote proliferation of surrounding cells by paracrine stimulation.

#### 5.2. Adhesion to extracellular matrix

The tumor cell ability to invade and metastasize to distant organs depends on the cell adhesive capacity to the extracellular matrix within tissues. The extracellular matrix is primarily composed of type I and IV collagens, laminins, heparin sulfate proteoglycan, fibronectin, and other non-collagenous glycoproteins (Sood et al., 2006). Integrins serve as membrane-bound receptors that mediate extracellular matrix-cell interaction. The process by which matrix components regulate adhesion is not clearly understood, but it is known that cAMP has the ability to regulate activity of the adhesion-mediating small GTPases RhoA and Rac through the activation of protein kinase A (Mercurio and Rabinovitz, 2001). Recent studies also show that the exchange factor directly activated by cAMP (Epac) is also involved in integrin-mediated cell adhesion and cell-cell junction formation. Consistent with these observations, recent data show that the β-agonist isoproterenol promotes ovarian cancer cell spreading and adhesion to laminin-5 in an Epacdependent fashion and promotes adhesion to a fibronectin matrix in a cAMP-mediated Epac-Rap1 pathway (Bos, 2006). Thus, stress hormones may promote cancer cell-matrix attachments. This mechanism would be particularly relevant for ovarian cancer where cancer cells shed off the primary tumor and then implant at various peritoneal sites.

#### 5.3. Cancer cell migration and invasion

To reach blood vessels or lymphatics, tumor cells must penetrate host stroma, including the basement membrane. The process by which tumor cells penetrate the host basement membrane consists of multiple steps that involve attachment, matrix dissolution, motility, and then actual penetration (Langley and Fidler, 2007). There are now several lines of evidence suggesting that stress hormones can promote tumor cell movement and invasion. For example, in a breast cancer model, norepinephrine induced not only a chemotactic response, but also promoted chemokinetic migration (Drell et al., 2003). Norepinephrine can also promote migration in a phospholipase  $C\gamma$  and protein kinase  $C\alpha$ -dependent manner. Our group has recently examined the role of catecholamines and glucocorticoids on the invasive potential of ovarian cancer cells, and matrix metalloproteinases that are important for tumor cell penetration of extracellular matrix (Sood et al., 2006). Norepinephrine concentrations that would mimic those in stress conditions significantly increased the in vitro invasiveness of ovarian cancer cells. Epinephrine also promoted the invasive potential of ovarian cancer cells, but cortisol failed to have a significant effect. These effects were blocked by a β-adrenergic receptor antagonist. Additionally, norepinephrine increased the *in vitro* production of MMP-2 and MMP-9 by tumor cells, and pharmacologic inhibition of MMPs blocked norepinephrine-mediated increase in tumor cell invasion. Similar findings have been demonstrated in a nasopharyngeal carcinoma model; catecholamines increased the invasive potential by increasing MMP-2 and MMP-9 levels (Yang et al., 2006). These findings provide direct experimental evidence that at the *in vitro* level, stress hormones can promote ovarian cancer cell invasion.

#### 5.4. Tumor-associated angiogenesis

New vessel growth is a critical step that enables a solid tumor to grow beyond 1 mm in diameter. Additionally, metastasis requires recruitment of nearby blood vessels to permeate the tumor (Langley and Fidler, 2007). Recently, it has been found that stress hormones may promote angiogenic mechanisms in human tumors. Vascular endothelial growth factor (VEGF), an important cytokine that drives angiogenesis, has been shown to be upregulated by norepinephrine through the use of β-adrenergic receptor/cAMP/protein kinase A (PKA) signaling pathway in adipose tissue, nasopharyngeal cancer cells and in two ovarian cancer cell lines (Lutgendorf et al., 2003; Yang et al., 2006). The effect of norepinephrine with regard to VEGF stimulation was abolished by a βblocker, and mimicked by agonists and thought to be mediated via β-adrenergic receptors (Thaker et al., 2006). Furthermore, norepinephrine has been found to have the ability to modulate the expression of VEGF in a  $\beta_2$ -adrenergic receptor-mediated fashion in non-solid tumors, such as multiple myeloma (Yang et al., 2008).

In vivo cancer models have confirmed in vitro observations regarding the effects of stress on tumor angiogenesis. In an ovarian cancer orthotopic model, chronic stress-induced by daily restraint, resulted in higher levels of tissue catecholamines, increased tumor burden, and a more invasive phenotype. Angiogenesis, as quantified by microvessel density counts, was significantly increased in tumor samples taken from stressed mice. Moreover, these same samples had elevated VEGF mRNA and protein levels. Continuous infusion of a non-selective  $\beta$ -blocker partially abrogated the effects of stress on tumor growth and progression, suggesting that  $\beta$ -adrenergic receptors play an important role in stress-mediated tumor growth (Thaker et al., 2006). Parallel to these findings, clinical data have demonstrated that there is an association between higher levels of social support and lower serum VEGF levels in ovarian cancer patients (Lutgendorf et al., 2002).

Interleukin 6 (IL-6) is another key cytokine that plays a key role in tumor progression and angiogenesis (Nilsson et al., 2005). Experimentally, gelfoam sponge assays showed that IL-6 is capable of increasing microvessel density (Nilsson et al., 2005). Clinically, individuals who provide care to a spouse with dementia have been shown to exhibit increased circulating IL-6 levels and ovarian cancer patients that had elevated IL-6 levels had a poorer prognosis (Kiecolt-Glaser et al., 2003). In ovarian cancer patients, social support was correlated with lower IL-6 levels, both in peripheral circulation and in ascites, indicating that relationships of IL-6 with biobehavioral factors are also observed at the tumor level, not just in peripheral blood (Costanzo et al., 2005). Recent experimental studies using an ovarian cancer model showed that norepinephrine significantly increased IL-6 mRNA and protein expression. Specifically, this increase was due to catecholamine-mediated regulation of IL-6 at the transcriptional level. Additionally, norepinephrine-induced IL-6 production in ovarian carcinoma cells was regulated by a β-adrenergic receptor/Src tyrosine kinase pathway (Nilsson et al., 2007).

The signal transducer and activator of transcription factor-3 (STAT-3) is activated by growth factors and cytokines, such as IL-6 and VEGF and has been found to promote angiogenesis and suppress apoptosis (Antoni et al., 2006). It is known that cytokines

such as IL-6 can contribute to tumor growth and progression through the activation of STAT3. Recent studies have sought to determine whether stress hormones induce the expression, cellular localization and activation of STAT3 in an ovarian cancer model. Specifically, norepinephrine and epinephrine were found to activate STAT3, promote translocation to the nucleus and binding to DNA. These effects on STAT3 were mediated by  $\beta$ -adrenergic receptors and PKA signaling and were independent of IL-6. Taken together, studies suggest that stress-mediated tumor progression may result, in part, through STAT3 activation of downstream effector pathways (Landen et al., 2007).

### 5.5. Cancer cell survival

The continuation of the metastatic process depends on the ability of the tumor cell to survive and avoid apoptosis (Langley and Fidler, 2007). It has been shown that dopamine and norepinephrine can trigger apoptosis via a G protein-mediated signaling cascade in neuroblastoma cells, but not in lung carcinoma cells (Chan et al., 2007). More importantly, epinephrine reduced the sensitivity of prostate and breast cancer cells to apoptosis through PKA-dependent BAD phosphorylation that was mediated by βadrenergic receptor activation (Sastry et al., 2007). Furthermore, catecholamines may also partner with glucocorticoids to promote cancer growth. For example, cortisol increased the isoproterenolinduced cAMP and β-adrenergic receptor accumulation on the cellular membrane and substantially increased the effects of IL-1 $\alpha$ , IL-1 $\beta$ , and TNF- $\alpha$  in lung carcinoma cells (Nakane et al., 1990). Although, large scale human studies are needed, a provocative epidemiological study showed reduction in prostate cancer risk among patients that used  $\beta$ -blockers, suggesting a key role for  $\beta$ adrenergic receptors in development of prostate cancer (Perron et al., 2004).

#### 5.6. Other stress mediators

Prolactin, oxytocin, dopamine, and substance P are among other hormones that are affected by stress (Antoni et al., 2006). Prolactin has been shown to promote tumor cell growth and survival of breast and other cancer cells (Antoni et al., 2006). Various epidemiological studies suggested that a correlation exists between prolactin levels and breast cancer risk factors such as parity and age at menarche. The majority of breast cancer cell lines express the prolactin receptor. In these cell lines, prolactin has limited trophic effects. Additionally, prolactin has been demonstrated to promote proliferation in prostate and endometrial cancer cells (Antoni et al., 2006). Besides inducing proliferation, prolactin may also serve as an anti-apoptotic agent since it inhibits mammary tumor cell apoptosis by Akt stimulation (Richert et al., 2001).

Oxytocin can inhibit growth of epithelial tumors and those that arise from nervous or bone origin, but the hormone has a growth promoting effect on trophoblast and other tumors (Cassoni et al., 2001). Dopamine, an important member of the catecholamine family, since it is a precursor in the synthesis of catecholamines, is one of the major neurotransmitters in the mammalian brain and plays an important role in the periphery (Thaker and Sood, 2007). It has been suggested that chronic stress exposure leads to reduced dopamine levels. Several lines of evidence suggest that dopamine can inhibit tumor cell growth in different types of cancers, including neuroblastoma, breast, melanoma, and head and neck (Thaker and Sood, 2007). Growth reduction may be a result of activation of dopamine receptors or dopamine auto-oxidation resulting in the generation of reactive oxygen species. However, recent studies show that dopamine may reduce tumor growth by other mechanisms. For example, studies with in vivo models have shown that dopamine can inhibit tumor growth by blocking the vascular permealizing and angiogenic activities of VEGF (Basu et al., 2001). Furthermore, it was shown that dopamine acts through D2 dopamine receptors to promote endocytosis of VEGF receptor 2, which is critical for promoting angiogenesis (Basu et al., 2001). Additional *in vivo* models showed that both tumor size and vessel density are lower in rats with a hyperactive dopaminergic system, suggesting a link between dopaminergic activity, angiogenesis, and tumor growth and progression (Thaker and Sood, 2007). Substance P is a peptide in the neurokinin family and is normally found in the central and peripheral nervous systems. It plays a role in stress reactions, anxiety, and depression (Kramer et al., 1998). Although its role in cancer progression is not clearly understood, substance P is known to promote the migration of multiple cell lines and is a potent chemoattractant for squamous cell lung cancer cells (Esteban et al., 2006).

#### 6. Summary

Cancer initiation, progression, and metastasis are intricate processes that depend on various steps including genetic alterations, growth/proliferation, vascularization, invasion, embolization, and survival/evasion of apoptosis. When a tumor is established, its survival, growth, and metastatic spread heavily depend on interactions with its microenvironment. In this review, we have turned our attention toward the interplay between biobehavioral factors and cancer initiation and metastasis. Despite evidence linking stress and cancer progression, studies evaluating the direct effects of stress hormones on cell proliferation are inconclusive. Even though recent advances are encouraging, our understanding of mechanisms that would explain these relationships is still vague and needs to be expanded.

Behavioral stress effects on tumor initiation and progression are complex and should be analyzed in the context of relevant microenvironment biology. New studies should offer opportunities that would open the door for innovative therapeutic interventions based on behavioral and pharmacological approaches aimed at tumor-supporting neuroendocrine processes. This new approach should be designed to undermine the support network that tumors use as fuel for growth and spread. As discussed in this review,  $\beta$ blockers have been shown to abrogate many deleterious stress effects on malignant growth. Some clinical studies have shown lower cancer incidence among patients treated with  $\beta$ -blockers, whereas in other subjects the cancer risk was neutral (Perron et al., 2004). Important advances have been made in understanding the influence of behavioral factors on cancer initiation and progression, but further research is needed to completely understand the complex underlying signaling that is driving malignant growth. As cancer treatment evolves to patient-specific approaches, such findings will be of great value as novel patient-specific and comprehensive intervention strategies are integrated or used in combination with conventional therapies.

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